GRAHAM**HOLLIS** APC

Motion for Final Approval of Class and PAGA Action Settlement and respectfully request this Court to

enter an order in accordance with the following:

WHEREAS, on August 9, 2022, Plaintiff filed his Unopposed Motion for: (1) Preliminary Approval of Class Action and PAGA Representative Action Settlement; (2) Provisional Certification of the Settlement Class; (3) Approval of Class Notice and Notice Plan; (4) Appointment of Class Counsel and Class Representative; (5) Appointment of Settlement Administrator; and (6) Setting a Final Approval Hearing ("Plaintiff's Motion for Preliminary Approval").

WHEREAS, this Court entered an Order granting Plaintiff's Motion for Preliminary Approval on January 24, 2023 and set a hearing on Plaintiff's Motion for Final Approval of Class Action and PAGA Representative Action Settlement and Award of Attorney's Fees, Costs, and Class Representative's Service Award ("Plaintiff's Motion for Final Approval") on May 4, 2023 at 10:00 a.m. in Department 7 of the above-entitled Court.

WHEREAS, Plaintiff's counsel provided the Order granting Plaintiff's Motion for Preliminary Approval to the court-approved settlement administrator, CPT Group, Inc. ("CPT"), for review and to prepare the necessary documentation for mailing the court-approved class notice to class members.

WHEREAS, upon review of the class members' information Defendant's counsel provided to the settlement administrator, CPT informed counsel of missing information required for completing disbursement of the settlement monies, specifically missing Social Security Numbers for certain class members.

WHEREAS, counsel for the Parties met and conferred to address the administrator's questions and seek to resolve the outstanding issues as soon as possible in order to prevent a further delay in mailing the class notices to class members. A true and correct copy of the email thread between the Parties and CPT regarding the missing information, status of the updated class list, and proposed resolution is attached hereto as **Exhibit 1.**

WHEREAS, CPT confirmed the mailing of the court-approved class notice was completed on March 3, 2023. Attached hereto as **Exhibit 2** is a true and correct copy of the email from CPT to counsel confirming the mailing of the class notice.

WHEREAS, the deadline for class members to object or opt-out of the proposed settlement is May 2, 2023, two days before the hearing on Plaintiff's Motion for Final Approval.

PROPOSED	<u>ORDER</u>
Following review of the Parties' foregoing Joint	Stipulation to Continue the Hearing on Plaintiff's
Motion for Final Approval of Class and PAGA Acti	on Settlement and good cause appearing, IT IS
HEREBY ORDERED:	
1. The hearing on Plaintiff's Motion for Fin	nal Approval is continued to €
at <u>FFI€€</u> a.m./p <u>.m</u> . in Departn	nent 7 of the Spring Street Courthouse.
2. Plaintiff's Motion for Final Approval m	ust be filed no later than 16 court days before the
above hearing date. √@ (peal) ^•• (P^æ)	*Ána Ána†•[Ána] *Ána; Ána] *Ána; Ána] *Ána; Ána; Ána; Ána; Ána; Ána; Ána; Ána;
IT IS SO ORDERED.	ALA A.
	() () () () () () () () () ()
Dated:04/06/2023	Lawrence P. Riff/Judge
	Hon. Lawrence P. Riff Judge of the Superior Court
	Judge of the Superior Court

EXHIBIT 1

From: Kaylie O"Connor

To: <u>Vilmarie Cordero</u>; <u>Vahe Hovanessian (vahe@vhlaw.com)</u>; <u>Andrea Gorrino</u>

Cc: Alejandra Zarate; Jeremy Romero; Mehreen Samnani; Isabella Liu; CPT Case Management

Subject: RE: Hendrix v. Lucky Seven Dragons, Inc. - Status Update (Mailing On Hold)

Date: Tuesday, February 21, 2023 11:44:46 AM

Attachments: <u>image001.png</u>

Dear Counsel,

As a follow up to my email below, if there are no objections at this time, we will proceed with the calculations of the Waiting Time Penalties Subclass Members. Any individual with a termination date on or after March 1, 2018 will be credited as a member of the Waiting Time Penalties Subclass.

We will continue to keep the parties apprised of the status of this matter.

Thank you!





Kaylie O'Connor Case Manager II

50 Corporate Park, Irvine CA 92606 T: (949) 428-1019 O: (800) 542-0900

kaylie@CPTGroup.com
Website | LinkedIn | Twitter

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IMPORTANT: Do not send class data or wire instructions through email. Instead, CPT will send you a secure link.

From: Kaylie O'Connor < Kaylie@cptgroup.com> Sent: Monday, February 20, 2023 12:40 PM

To: Vilmarie Cordero <vcordero@grahamhollis.com>; Vahe Hovanessian (vahe@vhlaw.com)

<vahe@vhlaw.com>; Andrea Gorrino <agorrino@grahamhollis.com>

Cc: Alejandra Zarate <alejandra@cptgroup.com>; Jeremy Romero <Jeremyr@cptgroup.com>; Mehreen Samnani <mehreen@cptgroup.com>; Isabella Liu <Isabella@cptgroup.com>; CPT Case Management <cptcasemgmt@cptgroup.com>

Subject: Hendrix v. Lucky Seven Dragons, Inc. - Status Update

Dear Counsel,

At this time, we can confirm that we are in receipt of the updated class list and that Defense Counsel has resolved our questions regarding the data, however there is one item that Defense Counsel suggested we raise with all parties:

Page 5 of the Settlement Agreement states: "Waiting time Penalties Subclass" means all Class Members who

worked for Defendant and separated employment at any time on or after March 1, 2018.

For the purpose of calculating individual settlement shares, please confirm that class members with a termination date on or after March 1, 2018 should be credited as a member of the Waiting Time Penalties Subclass.

At your earliest convenience, please advise so that we can proceed with the preliminary calculations.

Thank you!





Kaylie O'Connor

Case Manager II

50 Corporate Park, Irvine CA 92606 T: (949) 428-1019 O: (800) 542-0900

kaylie@CPTGroup.com

Website | LinkedIn | Twitter

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IMPORTANT: Do not send class data or wire instructions through email. Instead. CPT will send you a secure link.

From: Kaylie O'Connor < Kaylie@cptgroup.com>
Sent: Wednesday, February 15, 2023 3:33 PM

To: Vilmarie Cordero < vcordero@grahamhollis.com >; Vahe Hovanessian (vahe@vhlaw.com)

<<u>vahe@vhlaw.com</u>>; Andrea Gorrino <<u>agorrino@grahamhollis.com</u>>

Cc: Alejandra Zarate alejandra@cptgroup.com; Jeremy Romero Jeremyr@cptgroup.com; Mehreen Samnani mehreen@cptgroup.com; Isabella Liu Isabella@cptgroup.com; CPT Case Management cptcasemgmt@cptgroup.com>

Subject: Hendrix v. Lucky Seven Dragons, Inc. - Status Update

Dear Counsel,

I am writing to provide a status update to all parties. Upon our initial review of the data, we identified some items requiring clarification, which we raised with Defense Counsel. In addressing the items, Defense Counsel informed CPT that an updated class list was forthcoming. We anticipate receiving the updated class list from Defense Counsel on Friday.

At this time, we do expect this mailing to be delayed. Once we receive the updated class list, we will continue our data analysis and propose a new mailing date.

Please let me know if there are any questions.

Thank you,





Kaylie O'Connor

Case Manager II 50 Corporate Park, Irvine CA 92606

T: (949) 428-1019 O: (800) 542-0900 kaylie@CPTGroup.com Website | LinkedIn | Twitter

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IMPORTANT: Do not send class data or wire instructions through email. Instead, CPT will send you a secure link.

From: Kaylie O'Connor

Sent: Tuesday, February 7, 2023 3:44 PM

To: Vilmarie Cordero <<u>vcordero@grahamhollis.com</u>>; Vahe Hovanessian (<u>vahe@vhlaw.com</u>)

<<u>vahe@vhlaw.com</u>>; Andrea Gorrino <<u>agorrino@grahamhollis.com</u>>

Cc: Alejandra Zarate alejandra@cptgroup.com; Jeremy Romero Jeremyr@cptgroup.com; Mehreen Samnani alejandra@cptgroup.com; Isabella Liu Isabella@cptgroup.com; CPT Case Management cptcasemgmt@cptgroup.com; Kaylie O'Connor Kaylie@cptgroup.com>

Subject: RE: Hendrix v. Lucky Seven Dragons, Inc. - Administration Timeline and Document for Review

Dear Counsel,

As social security numbers are needed to completed disbursement, we would like to propose the inclusion of a substitute W9 Form along with the Notice in order to collect SSN information for all class members.

Please find attached the updated Class Notice and proposed W9 Form for your review. At your convenience, please confirm if all parties are in agreement to include this form with the mailing.

Please let me know if there are any questions.

Thank you!





Kaylie O'Connor

Case Manager II

50 Corporate Park, Irvine CA 92606 T: (949) 428-1019 O: (800) 542-0900

kaylie@CPTGroup.com

Website | LinkedIn | Twitter

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IMPORTANT: Do not send class data or wire instructions through email. Instead, CPT will send you a secure link.

From: Kaylie O'Connor

Sent: Tuesday, February 7, 2023 2:57 PM

To: Vilmarie Cordero <vcordero@grahamhollis.com>; Vahe Hovanessian (vahe@vhlaw.com)

<<u>vahe@vhlaw.com</u>>; Andrea Gorrino <<u>agorrino@grahamhollis.com</u>>

Cc: Alejandra Zarate <a lejandra@cptgroup.com>; Jeremy Romero <a lefa lejandra@cptgroup.com>; Mehreen Samnani <a lefa lejandra@cptgroup.com>; Isabella Liu <a lefa lejandra@cptgroup.com>; CPT Case Management <a lefa lejandra@cptgroup.com>; Kaylie O'Connor <a lefa lejandra@cptgroup.com>; Kaylie@cptgroup.com>

Subject: RE: Hendrix v. Lucky Seven Dragons, Inc. - Administration Timeline and Document for Review

Dear Counsel,

Thank you for your phone call. As discussed, I will get back to you regarding the social security numbers of class members.

Attached please find the updated Class Notice reflecting the new proposed email address and website. Please advise what information we are to insert into the blanks highlighted in green on pages 6 and 8.

Defense Counsel, we are in the process of setting up the QSF and will reach out to you directly with wire instructions once available.

Thank you!





Kaylie O'Connor Case Manager II

50 Corporate Park, Irvine CA 92606 T: (949) 428-1019 O: (800) 542-0900

kaylie@CPTGroup.com

Website | LinkedIn | Twitter

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IMPORTANT: Do not send class data or wire instructions through email. Instead, CPT will send you a secure link.

From: Vilmarie Cordero < vcordero@grahamhollis.com >

Sent: Tuesday, February 7, 2023 2:10 PM

To: Vahe Hovanessian (vahe@vhlaw.com) <vahe@vhlaw.com>

Cc: Kaylie O'Connor < Kaylie@cptgroup.com >; Andrea Gorrino < agorrino@grahamhollis.com >; Alejandra Zarate

<alejandra@cptgroup.com>

Subject: FW: Hendrix v. Lucky Seven Dragons, Inc. - Administration Timeline and Document for Review

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Vahe, I spoke with Kaylie just now and they should be reaching out to you for the wire transfer instructions.

Also, they are going to change the name for the email and website, as we discussed.

Finally, I told her about the social security issue, and she is going to discuss with her supervisor and let us know options (that of course do not mean more money for the administration). She said she will not need the social security numbers until around May, and once the case gets final approval.

Let me know if I can help with anything else.

Best.

Vilmarie Cordero Shareholder



3555 Fifth Ave, Suite 200 San Diego, CA 92103 619.692.0800 x4025 619.692.0822 fax 619.906.4025 direct

www.grahamhollis.com



Protect the environment - think twice before printing this e-mail.

Notice: This transmittal is directed only to the above named addressee or addressees. It is not to be read by anyone else. Its contents may be protected from disclosure by law as privileged or confidential. The use of e-mail, internet, intranet, telecopier or other electronic transmission does not waive or intend to waive this or any other protection which may be available pursuant to California or Federal law. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient or recipients is prohibited. Illegal interception of this communication is a violation of 11 U.S.C. § 2511. Nothing in this message is to be interpreted as a digital or electronic signature that may be used to authenticate a contract or other legal document. If you received this transmission in error, please contact the sender, delete the material from any computer and permanently discard any printed or duplicate version of the material. Thank you for your cooperation.

From: Kaylie O'Connor < Kaylie@cptgroup.com>

Sent: Monday, February 6, 2023 4:04 PM

To: Andrea Gorrino ; Vilmarie Cordero ; Graham Hollis ; Hali Anderson ; ddelp@grahamhollis.com; yahe@vhlaw.com

Cc: Alejandra Zarate alejandra@cptgroup.com; Jeremy Romero Jeremyr@cptgroup.com; Mehreen Samnani mehreen@cptgroup.com; Isabella Liu Jeremyr@cptgroup.com>; Mehreen

Subject: Hendrix v. Lucky Seven Dragons, Inc. - Administration Timeline and Document for Review

Dear Counsel,

We have reviewed the documents and compiled an administration timeline which is below my signature for your review. Please review the timeline below and advise of any discrepancies.

We have completed our document formatting process and have attached the Notice for your review and approval.

Please advise of any changes or confirm your approval at your earliest convenience.

- For reference, we have highlighted the deadlines dates, CPT contact information and any other text inserted by CPT in blue.
- Any information that is Class Member specific and will require merging is highlighted in yellow.
- Any items requiring clarification are highlighted in green.
 - On Page 3, please advise if the initial funding date of December 31, 2022 should be updated since it has passed.
 - Per the Settlement Agreement (Page 17), it is our understanding that we are to set up a static website for this matter. On Page 6 & 7, we have inserted our proposed website naming convention, www.cptgroupcaseinfo.com/luckysevendragonsettlement. Please advise if this is approved.
 - On Page 6, please advise of the Court's website to be inserted.
 - Per the Settlement Agreement (Page 17), it is our understanding that we are to set up an email address for this matter. On Page 8, we have inserted our proposed case email address, luckysevendragonsettlement@cptgroup.com. Please advise if this is approved.
 - On Page 8, please advise what information should be inserted into the blank.
- Any proposed corrections requiring approval are highlighted in pink.
 - On Page 1, we corrected the start date for the PAGA Period to be consistent with the Settlement Agreement.
 - On Page 1, we propose a change to wording regarding the Waiting Time Penalties Subclass.

Lastly, pursuant to page 11 of the Settlement Agreement, we see that unclaimed funds will escheat to the state; however, our bid does not account for this. We will provide a revised bid to the parties shortly which will include the escheatment of the unclaimed funds, as well as the static website.

Please let me know if you have any questions.

Thank you!





Kaylie O'Connor Case Manager II

50 Corporate Park, Irvine CA 92606 T: (949) 428-1019 O: (800) 542-0900

kaylie@CPTGroup.com

<u>Website</u> | <u>LinkedIn</u> | <u>Twitter</u>

IMPORTANT: Do not send class data or wire instructions through email. Instead, CPT will send you a secure link.

Administration Timeline: Hendrix v. Lucky Seven Dragons dba Protective Shield Security

Security	
Date	Description of Deadline
12/31/2022	Initial Funding of \$125,000
	(pg. 5 of order)
1/24/2023	Date of Preliminary Approval
-	(pg. 5 of order)
03/01/2017 -	Class Period
05/13/2022	(pg. 2 of order)
12/27/2019 –	PAGA Period
05/13/2022	(pg. 4 of SA)
03/01/2018 -	Waiting Time Penalties Subclass Qualifying Separation Dates
05/13/2022	(pg. 2 of order)
1/24/2023	Docs Rec'd
2/7/2023	Data Due
	(10 business days of the entry of Preliminary Approval – pg. 4 of order)
2/21/2023	Initial Mailing Date
	(14 calendar days of receiving Class Data – pg. 4 of order)
03/01/2023	Final Funding of \$100,000
	(pg. 5 of order)
3/29/2023	Declaration of Due Diligence Due Date
	Draft Declaration can be sent early at Counsel's request
	(14 days prior to CC to file for motion – pg. 5 of order)
	(CC to file motion for final approval 16 court days before FAH (4/12/2023) – pg.
	19 of SA)
4/22/2023	Objection Deadline
	(60 calendar days after Initial Mailing – pg. 4 of order)
4/22/2023	Opt-Out Deadline
	(60 calendar days after Initial Mailing – pg. 4 of order)
4/22/2023	Dispute Deadline
	(60 calendar days after Initial Mailing – pg. 4 of order)
4/22/2023	Remail Stop Date
4/27/2023	Deadline to notify Parties of valid Exclusions
	(5 days after Response Deadline – pg. 4 of order)
Weekly	Report Due Dates
	(pg. 18 of SA)
05/04/2023 at	Final Fairness Approval Hearing
10:00 a.m.	(pg. 5 of order)
5/4/2023 est.	Effective Date – if there are no objections
	(Date the Court signs the Final Approval Order and Judgment – pg. 5 of order)
5/6/2023	Response Deadline for Remailed Notices
	(additional 14 days from the Response Deadline – pg. 4 of SA)
5/11/2023 est.	Final Workweek Calculations to Parties
	(within 7 days after Final Approval – pg. 10 of SA)
5/25/2023 est.	Disbursement Date

	(10 business days from approval of final workweek calculations – pg. 5 of order)
6/5/2023* est.	Disbursement Declaration
	(within 10 days after disbursement – pg. 18 of SA)
12/4/2023** est.	Check Expiration Date
	(180 days – pg. 5 of order)
1/3/2024 est.	Uncashed Funds to SCO
	(within 30 days after check cashing deadline – pg. 5 of order)

^{*}Initial Date was on a Sunday – moved to next business day
**Initial Date was on a Saturday – moved to next business day

EXHIBIT 2

From: Kaylie O"Connor

To: Andrea Gorrino; Vahe Hovanessian, Esq., Tel: (818) 240-1333; Vilmarie Cordero
Cc: Alejandra Zarate: Jeremy Romero; Mehreen Samnani; Isabella Liu; CPT Case Management

Subject: Hendrix v. Lucky Seven Dragons, Inc. - Mailing Confirmation

Date: Friday, March 03, 2023 3:59:22 PM

Attachments: image001.png

Dear Counsel,

This email confirms that the mailing for this matter was completed today as scheduled.

We are in the process of preparing a statistical report which will be provided every Friday throughout the response period.

Please let us know if there are any questions.

Thank you!





Kaylie O'Connor

Case Manager II

50 Corporate Park, Irvine CA 92606 T: (949) 428-1019 O: (800) 542-0900

kaylie@CPTGroup.com Website | LinkedIn | Twitter

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IMPORTANT: Do not send class data or wire instructions through email. Instead, CPT will send you a secure link.

From: Kaylie O'Connor < Kaylie@cptgroup.com>

Sent: Thursday, March 2, 2023 1:08 PM

To: Andrea Gorrino <agorrino@grahamhollis.com>; Vahe Hovanessian, Esq., Tel: (818) 240-1333 <vahe@vhlaw.com>; Vilmarie Cordero <vcordero@grahamhollis.com>

Cc: Alejandra Zarate <alejandra@cptgroup.com>; Jeremy Romero <Jeremyr@cptgroup.com>; Mehreen Samnani <mehreen@cptgroup.com>; Isabella Liu <Isabella@cptgroup.com>; CPT Case Management <cptcasemgmt@cptgroup.com>

Subject: RE: Hendrix v. Lucky Seven Dragons, Inc. - New Mailing Date

Hi Andrea,

Thank you so much for your response. Attached please find the updated Class Notice reflecting the changes.

We will proceed with the initial mailing tomorrow as scheduled unless we receive any objection from Counsel by **5:00 p.m. today**.

Thank you!